

Date: 10/31/11  
Subject: 510(k) Summary of Safety and Effectiveness Information for the NeuWave Medical Certus 140 2.45 GHz Ablation System and Accessories

Company: NeuWave Medical, Inc.  
3529 Anderson Street  
Madison, WI 53704

FDA Establishment# 3008769756

Contact: Dan Kosednar, Director of Regulatory Affairs and Quality Assurance  
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Proprietary: Certus 140 2.45 GHz Ablation System and Accessories

Common: System, Ablation, Microwave and Accessories

Classification: General and Plastic Surgery, 73 NEY, 21 CFR 878.4440

The 510(k) summary of safety and effectiveness information is being submitted in accordance with the requirements of SMDA 1990 and 1992.

#### Predicate Devices

The Certus 140 2.45 GHz Ablation System and Accessories is substantially equivalent to the following currently marketed device:

- Certus 140 2.45 GHz Ablation System and Accessories – Class II – 21CFG878.4400 which has been the subject of a cleared 510(k) with the FDA log number K100744.

#### Intended Use

The NeuWave Medical Certus 140™ 2.45 GHz Ablation System and Accessories are intended for the ablation (coagulation) of soft tissue in percutaneous, open surgical and in conjunction with laparoscopic surgical settings.

The Certus 140™ 2.45 GHz Ablation System is not intended for use in cardiac procedures.

NeuWave recommends against the use of the Certus 140 2.45 GHz Ablation System in the following situations:

- Pregnant patients – potential risks to patient and/or fetus have not been established
- Patients with implantable pacemakers or other electronic implants. Implanted electronic devices may be adversely affected by microwave power
- Use on the central nervous system

The system is designed for facility use and should only be used under the orders of a clinician.

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### Device Description

The system has a single 2.45 GHz signal source generator and three (3) independent power amplifiers, each capable of producing up to 140W each. One, easy to use, touch-screen user interface controls the system. Up to 3 microwave ablation probes can be connected to and powered by the system at one time. The maximum selectable power for the system is dependent on the probe type used. For Certus<sup>LK</sup>, Certus<sup>LN</sup> and Certus<sup>SR</sup> probes, the maximum power is 140W when one probe is connected, 95W per probe when 2 probes are connected and 65W per probe when 3 probes are connected. When Certus<sup>PR</sup> probes are used, the maximum power is 65W regardless of the number of probes connected. An intermediate junction box or Power Distribution Module (PDM) reduces system set up complexity.

Probes are provided sterile and are intended for single patient use only. Ablation probes are comprised of a sharp trocar on the end of a cannula, a probe handle, a 1.4 meter cable and a connector assembly.

Models Certus<sup>LN</sup>, Certus<sup>LK</sup>, and Certus<sup>PR</sup> have 17 gauge cannula and are available in 15 cm and 20 cm lengths.

Model Certus<sup>SR</sup>, has a 13 gauge cannula and is available in a 25 cm length only.

Each probe contains three (3) temperature measurement sensors that help monitor performance and ensure patient and operator safety.

The ablation probe assembly contains 4 main sections: a handle, a cannula, a radiating section and a faceted tip for insertion. The probes have a triaxial antenna design. The triaxial antenna design is created from a coaxial monopole antenna passed through a hollow needle. The needle creates the triaxial structure and its tip is positioned approximately  $\frac{1}{4}$  of a wavelength proximal to the monopole base. This positioning improves antenna efficiency and reduces fields flowing back on the coaxial outer conductor. In turn, more energy is deposited in the tissue.

Additionally, different ablation probes have been designed to optimize the energy transfer efficiency from the probe into different types of tissue based on known electrical properties of each tissue.

Certus<sup>LK</sup> probes are designed to perform optimally, in terms of efficiently transferring energy into tissue, in liver and kidney tissue. Certus<sup>LN</sup> probes are designed to perform optimally, in terms of efficiently transferring energy into tissue, in lung tissue.

The antenna of the Certus<sup>PR</sup> probe is designed to limit the length of the ablation for instances when a shorter ablation zone is desired. Certus<sup>PR</sup> Probes were developed to provide physicians with an additional ablation probe designed specifically for ablating smaller lesions. The Certus<sup>PR</sup> probes are designed to produce ablations that quickly encompass the tip of the probe while limiting the overall length of the ablation. Certus<sup>PR</sup> probes will enable physicians to ablate smaller lesions while limiting necrosis of adjacent tissue when compared to other Certus probes.

A CO<sub>2</sub> based cooling system ensures the non-active portion of the probe does not exceed temperature requirements. Additionally, the CO<sub>2</sub> enables the Tissu-Loc function, which can be used to adhere or stick the probe in place prior to starting ablation therapy. This function is similar in use to the stick function available on cryogenic ablation systems.

The system uses two (2) E-sized CO<sub>2</sub> cylinders. When a tank in use empties, the system will automatically switch to using the other tank and notify the user to replace the empty tank.

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**Performance Data**

The Certus 140 2.45 GHz Ablation System and Accessories has been designed to comply with the applicable portions of various International Standards, including:

- UL 60601-1:2003
- IEC 60601-1:1988 Plus Amendments
- IEC 60601-2-2:2006
- IEC 60601-2-6:1984
- IEC 60601-1-2:2007
- EN ISO 11607-1:2009
- ISO 10993-1: 2009

The Certus 140 2.45 GHz Ablation System and Accessories and the predicate devices are substantially equivalent in design concepts, technologies and materials. The Certus 140 Ablation 2.45 GHz System and Accessories has been verified through rigorous testing that, in part, supports the compliance of Certus 140 2.45 GHz Ablation System and Accessories to the standards listed above.

Ex-vivo studies were conducted to compare the performance of the Certus 140 2.45 GHz Ablation System and Accessories to a predicate device.



## DEPARTMENT OF HEALTH & HUMAN SERVICES

Public Health Service

Food and Drug Administration  
10903 New Hampshire Avenue  
Document Control Room -WO66-G609  
Silver Spring, MD 20993-0002

Neuwave Medical, Incorporated  
% Mr. Dan Kosednar  
3529 Anderson Street  
Madison, Wisconsin 53704 US

JAN - 4 2012

Re: K113237

Trade/Device Name: Certus 140 Microwave Ablation System and Accessories

Regulation Number: 21 CFR 878.4400

Regulation Name: Electrosurgical cutting and coagulation device and accessories

Regulatory Class: Class II

Product Code: NEY

Dated: November 1, 2011

Received: November 2, 2011

Dear Mr. Kosednar:

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments, or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set

forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please go to <http://www.fda.gov/AboutFDA/CentersOffices/CDRH/CDRHOffices/ucm115809.htm> for the Center for Devices and Radiological Health's (CDRH's) Office of Compliance. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21 CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm> for the CDRH's Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address <http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>.

Sincerely yours,

  
for

Mark N. Melkerson  
Director  
Division of Surgical, Orthopedic  
And Restorative Devices  
Office of Device Evaluation  
Center for Devices and  
Radiological Health

Enclosure

## Indications for Use

510(k) Number (if known): K

Device Name: Certus 140 Microwave Ablation System and Accessories

### Indications For Use:

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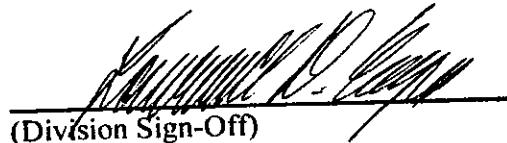
The system is designed for facility use and should only be used under the orders of a clinician.

Prescription Use XXX AND/OR Over-The-Counter Use \_\_\_\_\_  
(Part 21 CFR 801 Subpart D) (21 CFR 807 Subpart C)

(PLEASE DO NOT WRITE BELOW THIS LINE-CONTINUE ON ANOTHER PAGE IF NEEDED)

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Concurrence of CDRH, Office of Device Evaluation (ODE)

  
(Division Sign-Off)  
Division of Surgical, Orthopedic,  
and Restorative Devices

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